

A European Public Health Project, DG-SANCO, 2005-2008

## Privacy Impact Assessment

### Introduction

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#### Preamble:

- there are INCREASING limitations in the management of health information
- The interest of health authorities and policy makers is INCREASINGLY in conflict with more strict regulations imposed by privacy authorities
- DG-SANCO is INCREASINGLY aware of the difficulties of local data collection and international data connection
- EXISTING APPROACHES IN EU PROJECTS AVOID THE PROBLEM BECAUSE THEY ARE MOSTLY:
  - Sample Surveys based on Individual Consent
  - □ Compilation of existing national statistics
  - ☐ Ad-hoc epidemiologic studies based on Individual Consent



### Strengths:

- BIRO PROPOSES AN INNOVATIVE APPROACH OFFERING THE EU (INDIRECT) ACCESS TO PRIMARY LONGITUDINAL DATA IN A MAJOR CHRONIC DISEASE
- REGISTERS OPERATE INDEPENDENTLY ON A CONTINUOUS BASIS THROUGH LOCAL SUPPORT
- ASSUMPTION: LOCAL REGISTERS COMPLY WITH LOCAL AND NATIONAL PRIVACY REGULATION
- BIRO WILL PROVIDE A GENERAL PROTOTYPE AND A VALUABLE "PROOF OF CONCEPT" FOR EU INFORMATION EXCHANGE



#### Weaknesses:

- THE BIRO APPROACH MAY NOT BE IMMEDIATELY RECOGNIZED AS A MEANS TO ENHANCE THE EUROPEAN COMMUNITY ACTION IN PUBLIC HEALTH
- THE PROJECT IS BASED ON MASSIVE DATA COLLECTION THAT CAN BE TOO COMPLICATED FOR EU INSTITUTIONS
- THE MAASTRICHT TREATY DOES NOT INVOLVE REGIONS
- THE RESULT IS DETAILED, BUT THE EU POPULATION COVERAGE IS LOW
- LOCAL PROVIDERS OR HEALTH AUTHORITIES MAY BE THREATENED BY INTERNATIONAL INFORMATION EXCHANGE



#### **Opportunities:**

- A LARGE NUMBER OF NEW PARTNERS WANTS TO PARTICIPATE
- THE PROJECT IS FUNDED A SECOND ROUND
- NEW RESEARCH PROJECTS ARE CONCEIVED, SUBMITTED AND GRANTED ON TOP OF THE EXISTING INFORMATION INFRASTRUCTURE

#### **CONSEQUENCES:**

- THE BIRO APPROACH IS RECOGNIZED AS A MILESTONE OF SUSTAINABLE DATA COLLECTION, INFORMATION EXCHANGE AND SYNTHESIS FOR POLICY MAKING AT THE EU LEVEL
- INTERNATIONAL DIABETES POLICY RELIES ON BIRO RESULTS



#### Threats:

- POOR FUNDS DO NOT ALLOW PROPER CONSTRUCTION, MAINTENANCE AND PROMOTION OF THE SYSTEM
- FOCUS ON TECHNOLOGY TOO STRONG FOR CURRENT HEALTH SYSTEMS
- PUBLICATION OF COMPARATIVE ANALYSIS GENERATES CONCERN, POLITICAL PRESSURE IS USED TO DISCOURAGE USE OF THE SYSTEM
  - THREAT TO THE PRIVACY OF CITIZENS, PROVIDERS AND INSTITUTIONS MAY BE USED AS A STRONG AND OBJECTIVE CAUSE TO SHUT BIRO OFF

#### **CONSEQUENCES:**

- THE BIRO APPROACH IS NO MORE SUPPORTED AT THE EU LEVEL
- COMMITMENT AND COLLABORATION VANISH



#### **Conclusions:**

- THE BIRO CONSORTIUM:
  - □ IS AHEAD OF TIME
  - □ INCLUDES FEW PIONEERS, POORLY FUNDED FOR THE SCOPE
  - □ (AS OF TODAY) LACKS INFLUENT DG-SANCO PLAYERS

#### Recommendations:

- KEEP IT SIMPLE but not simplistic: let's find working solutions, make an efficient use of resources through minimal efforts and maximum collaboration
- BE SMART surf the virtuous cycle alternating the local activities with BIRO collaboration
- BE WISE:
  - PROVIDE A STRONG CASE TO SHOW HOW SECURE AND COMPLIANT WITH INTERNATIONAL LEGISLATION IS THE USE AND EXCHANGE OF HEALTH INFORMATION OCCURRING IN THE BIRO PROJECT



P.I.A.

### **TASKS**



### PIA TEAM

1. MEMBERS?

2. FEEDBACK ON SUGGESTED READINGS ????



## Preliminary Phase

□ The privacy facilitator will deliver a Preliminary PIA Report by 1<sup>st</sup> December 2006

### □ The PIA report includes:

- Introduction/project background
- Legislative and policy framework
- Description of personal information (required level of data aggregation and data flow)
- Potential privacy risks
- Overview of security requirements
- PIA plan
- Reminder: at least a summary description of personal information to be used and data flow is needed to perform this task



### Immediate Deadlines

- □ Review of core articles:
  - PIA team members to identify privacy protective criteria/requirements
  - Privacy facilitator to merge all applicable key criteria/requirements and circulate draft checklist
  - Draft checklist to be discussed and finalised

Deadline: 15th October 2006

- □ Planned Architecture:
  - PIA Team members to provide summary description of personal information used in the project and data flow

Deadline: 30th October 2006

 PIA Team members to select a limited number of BIRO candidate alternative architectures

Deadline: 30th November 2006